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ATTORNEY DOCKET NO.: 054253-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John C. SHAW, et al.

Application No.: 10/032,535

Filed: January 2, 2002



) Group Art Unit: 2164

) Examiner: Unassigned

For: **METHOD AND SYSTEM FOR FACILITATING SECURE TRANSACTIONS**

Commissioner of Patents

**BOX MISSING PARTS**

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

1. This replies to the Notice To File Missing Parts Of Nonprovisional Application mailed January 24, 2002.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- No declaration or oath was filed. Enclosed are seven Combined Declaration and Power of Attorney documents separately signed by each of the inventors.
- The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

- Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

- Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

07/26/2002 HBERHE 0000040 500310 10032535  
02 FC:118 1440.00 CH

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

| Total months requested                          | Fee for extension | [fee for Small Entity] |
|---|-------------------|------------------------|
| <input type="checkbox"/> one month              | \$ 110.00         | \$ 55.00               |
| <input type="checkbox"/> two months             | \$ 400.00         | \$200.00               |
| <input type="checkbox"/> three months           | \$ 920.00         | \$460.00               |
| <input checked="" type="checkbox"/> four months | \$1,440.00        | \$720.00               |
| <input type="checkbox"/> five months            | \$1,960.00        | \$980.00               |

Extension of time fee due with this request: \$1440.00

If an additional extension of time is required, please consider this a Petition therefor.

- Applicants believe that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicants hereby petition for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

- An assignment of the invention by six of the inventors to HARBORSIDE PLUS LLC and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

|  |                        |  | Fee Code  |
|--|------------------------|--|---|
| Basic Fee  |                        |  | \$740.00  |
|  |                        |  | 101/201   |
| Total Claims   | Number Filed<br>- 20 = | Number Extra<br>at a Rate of<br>(Lg./Sm.Entity)  | \$18/\$9 each= \$ 103/203                                     |
| Independent Claims   | - 3 =                  |  | \$84/\$42 each= \$ 101/202                                    |
| Multiple dependent claim(s), if any  |                        |  | \$280/\$140 \$ 104/204  |
|  |                        | SUB-TOTAL =  | \$0.00  |
| Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))  |                        | \$130/\$65   | \$130.00 105/205  |
| Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))   |                        | \$110/\$55 (1 Month)<br>\$400/\$200 (2 Months)<br>\$920/\$460 (3 Months)<br>\$1440/\$720 (4 Months)<br>\$1960/\$980 (5 Months) | \$1440.00 115/215<br>116/216<br>117/217<br>118/218<br>128/228 |
|  |                        | SUB-TOTAL =  | \$1570.00   |
| Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d)) |                        | \$130.00   | \$ 139  |
| Assignment Recordation Fee   |                        | \$ 40.00   | \$40.00 581   |
|  |                        | TOTAL FILING FEE =   | \$1610.00   |

8. Fee Payment

- Please charge Deposit Account No. 50-0310 in the amount of \$ 1610.00 representing the total fee due.
- Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

- Preliminary Amendment
- Submission of Formal Drawings with \_\_\_\_\_ sheets of drawings
- Claim for Priority
- Certified copy of priority document:
- Information Disclosure Statement
- Form PTO-1449, \*\* references as listed
- Declaration of Biological Deposit
- Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- Other: \_\_\_\_\_

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

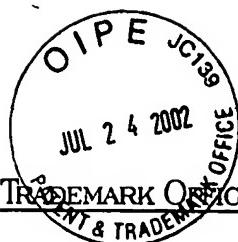


Paul A. Fournier  
Reg. No. 41,023

Date: July 24, 2002

**CUSTOMER NO. 09629**

MORGAN, LEWIS & BOCKIUS LLP  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Telephone: (202) 739-3000  
Facsimile: (202) 739-3001



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/032,535         | 01/02/2002          | John C. Shaw          | 054253-5001            |

009629  
MORGAN LEWIS & BOCKIUS LLP  
1111 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004

CONFIRMATION NO. 5955

FORMALITIES LETTER



\*OC00000007357254\*

Date Mailed: 01/24/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/26/2002 HBERHE 00000040 500310 10032535

01 FC:105 130.00 CH